

Docket No. 4126-4026

**Rec'd PCT/PTO 25 APR 2006**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Faircloth et al.

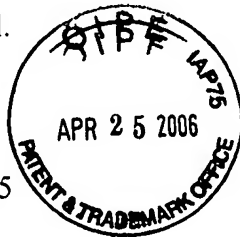
Serial No.: 10/531,533

Filed: April 15, 2005

For: New Antitumoral Compounds

Group Art Unit: tba

Examiner: tba



**RESPONSE TO "NOTIFICATION OF MISSING REQUIREMENTS"**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS dated September 28, 2005, Applicant(s) submit(s) herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notification of Missing Requirements (2 pages)
- ☒ Executed Declaration (10 pages)
- ☒ Preliminary Amendment (7 pages + duplicate page)
- ☒ Statements by deleted inventors (3 pages)
- ☒ Consent of Assignee to Correction of Inventorship (1 page)
- ☒ Petition for Extension of Time (2 pages + duplicate page)
- ☒ Please charge the required fee of \$130 to deposit account no. 13-4500, Order No. 4126-4026.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4126-4026. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

05/02/2006 GFREY1 00000135 134500 10531533  
01 FC:1617 130.00 DA

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: April 25, 2006

By: \_\_\_\_\_

*Michael A. Willis*  
Kenneth H. Sonnenfeld / Michael A. Willis  
Reg. No. 33,285 / Reg. No. 53,913

**Correspondence Address:**

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1AP7 Rec'd PCPTO 25 APR 2006

Docket No. 4126-4026

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

#4

Applicant(s): Glynn T. Faircloth et al.

Group Art Unit: tba

Serial No.: 10/531,533

Examiner: tba

Filed: April 15, 2005

For: NEW ANTITUMORAL COMPOUNDS

**EXPRESS MAIL CERTIFICATE**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Express Mail Label No.: EV 826870505 US

Date of Deposit: April 25, 2006

I hereby certify that the following attached paper(s) and/or fee

1. Copy of Notification of Missing Requirements (2 pages)
2. Executed Declaration (10 pages)
3. Preliminary Amendment (7 pages + duplicate page)
4. Statements by Deleted Inventors (3 pages)
5. Consent of Assignee to Correction of Inventorship (1 page)
6. Petition For Extension of Time (2 pages + duplicate page)
7. return postcard
8. Response to Notification of Missing Requirements (1 page + duplicate)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Michael A. Willis

(Typed or printed name of person mailing papers(s) and/or fee)

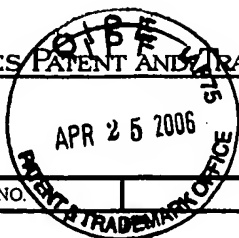
(Signature of person mailing paper(s) and/or fee)

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UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/531,533	Glynn Thomas Faircloth	4126-4026

INTERNATIONAL APPLICATION NO.
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PCT/US03/33207

I.A. FILING DATE	PRIORITY DATE
10/20/2003	02/26/2003

Kenneth H. Sonnenfeld  
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New York, NY 10281-2101

CONFIRMATION NO. 1346

Case 4126-4026 (Atty maw)  
Due Date 11-2-05 executed  
Statutory Date 4-28-06  
By am

371 FORMALITIES LETTER



\*OC000000017059220\*

Date Mailed: 09/28/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/15/2005
- Request for Immediate Examination filed on 04/15/2005
- U.S. Basic National Fees filed on 04/15/2005
- Priority Documents filed on 04/15/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity: 10/04/05 PM 2:34

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/531,533	PCT/US03/33207	4126-4026

FORM PCT/DO/EO/905 (371 Formalities Notice)